17414 U.S.PTO 100703

PTO/SB/05 (08-03)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	N02.3300
First Inventor	Linghong Xie
Title	Imidazol-1-ylmethyl....
Express Mail Label No.	ER139646172US

01004 U.S.PTO 10/680351 100703

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☑ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☑ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☑ Specification [*Total Pages* 76]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (*35 U.S.C. 113*) [*Total Sheets* _____]

5. Oath or Declaration [*Total Sheets* 2]
a. ☑ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☑ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☑ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)* ☑ Power of Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☑ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....
.....

18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information: *Examiner* _____ *Art Unit:* _____

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☑ Customer Number: 29441 *OR* ☑ Correspondence address below

Name	Ann Kadlecek				
Address	Neurogen Corporation				
	35 Northeast Industrial Road				
City	Branford	*State*	CT	*Zip Code*	06405
Country	U.S.A.	*Telephone*	203-488-8201	*Fax*	203-488-4710

Name (Print/Type)	Ann Kadlecek	*Registration No. (Attorney/Agent)*	39,244
Signature		*Date*	10/7/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17414 U.S. PTO
100703

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. N02.3300)

In Re Application of:	Xie, et al.	)	
		)	Examiner: Not yet assigned
Serial No. :	Not yet assigned	)	
		)	
Filing Date:	10/07/2003	)	
		)	Art Unit: Not yet assigned
For: IMIDAZOL-1-YLMETHYL PYRIDAZINE DERIVATIVES		)	

FEE TRANSMITTAL FORM

US Express Mailing No. ER139646172US

TOTAL AMOUNT OF PAYMENT: $717.00

METHOD OF PAYMENT

1. ☒ Payment Enclosed
   - ☒ Check
   - ☐ Money Order
   - ☐ Other

2. ☒ The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account Number 501116.

Neurogen Corporation
35 Northeast Industrial Road
Branford, CT 06405
203-488-8201

FEE CALCULATION

1. ☒ Basic filing fee

Small entity fee code	Small entity fee	Fee Description	Fee Paid
2001	385	Filing Fee	$385.00

Subtotal (1) $385.00

2. ☒ Extra Claim Fees

Small entity fee code	Small entity fee	Fee Description	Fee Paid
2201	43	5 Independent claims in excess of 3	$215.00
2202	9	13 Claims in excess of 20	$117.00

Subtotal (2) $332.00

3. ☐ Additional Fees

Small entity fee code	Small entity fee	Fee Description	Fee Paid

US Express Mailing No. ER139646172US

10/7/2003
Date

By: _____
Ann Kadlecek
Reg. No. 39,244

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35 Northeast Industrial Road
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